

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Assistant Commissioner For Patents, Washington, D.C. 20231 on May 20, 1998.

Name of Registered Representative:

Jonathan E. Reisky, Registration No. 34,415

5/20/98
Date of Signature

RECEIVED
2701 09-10-98
ST-041
STOK
98 OCT -1 PM 2:16
GROUP 2700
#8-1
10-11-98

PATENT
Case No. 8406/4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application Of:

HOWARD B. GARBER

Serial No.: 08/868,200

Filed: June 3, 1997

Title: SYSTEM AND METHOD FOR
TRADING HAVING A PRINCIPAL
MARKET MAKER

Group Art Unit: 2762

Examiner: Stanley, E.

RECEIVED
Publishing Division
MAY 26 1998
11

Assistant Commissioner For Patents
Washington, D.C. 20231

SUBMISSION OF CORRECTED DRAWINGS

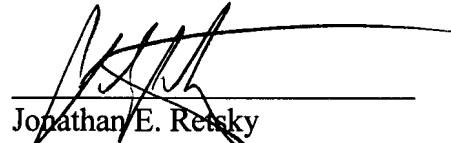
Dear Sir:

In response to the Office Action mailed February 18, 1998, Applicant submitted an Amendment under 37 CFR §1.115 on May 18, 1998. Although referenced in the Amendment, Applicant inadvertently failed to submit the corrected drawings requested by the Examiner in the Office Action. Accordingly, submitted herewith are the corrected

Approved by
Examiner
J. Patla 10/4/98

three sheets of drawings referred to in the Amendment as Exhibit A. Applicant also files herewith a Petition for a one-month extension of time in order to submit the enclosed corrected drawings.

Respectfully submitted,

A handwritten signature in dark ink, appearing to read 'J. Retsky', is written over a horizontal line.

Jonathan E. Retsky

Reg. No. 34,415

Attorney For Applicant

BRINKS HOFER GILSON & LIONE
P. O. BOX 10395
Chicago, IL 60610
(312) 321-4272

Dated: May 20, 1998